



-1-

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

James Peterson et al.

Application No.: 09/654,627

Filed: September 5, 2000

For: SYSTEM AND METHOD FOR  
TAX SENSITIVE PORTFOLIO  
OPTIMIZATION

Confirmation No.: 3624

Group Art Unit: 3624

Examiner: S. Shih

**RECEIVED**

**JUL 13 2004**

**GROUP 3600**

**CHANGE OF ATTORNEY OR AGENT'S  
ADDRESS IN APPLICATION  
(37 CFR 1.8(a))**

353 Sacramento Street, Suite 2200  
San Francisco, CA 94111  
(415) 772-4900

M/S AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited  
with the United States Postal Service as First Class Mail in an  
envelope, addressed to: Commissioner for Patents, P.O.  
Box 1450 Alexandria, VA 22313-1450 on July 1, 2004.  
STALLMAN & POLLOCK LLP

Dated: 07/02/1004

By: \_\_\_\_\_

Georgia K. Stith

Sir:

Please send all correspondence for this application to:

STALLMAN & POLLOCK LLP  
Attn: Brian J. Keating  
353 Sacramento Street, Suite 2200  
San Francisco, CA 94111  
Customer No.: 28584

Please direct all telephone calls to:

Brian J. Keating  
Telephone: (415) 772-4900  
Facsimile: (415) 398-2890

Respectfully submitted,

STALLMAN & POLLOCK LLP

Dated: July 1, 2004

By: \_\_\_\_\_

Michael A. Stallman (Reg. No. 29,444)

Attorneys for Applicant(s)

Atty Docket No.: SCHB-2700